

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

112740-369

In Re Application Of: Dietmar J. Hen

Serial No.  
09/990,694

Filing Date  
November 9, 2001

Examiner

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Group A Unit

**Title: METHOD AND APPARATUS FOR FREQUENCY BAND-DEPENDENT DISTRIBUTION AND  
INFLUENCING OF DATA SIGNALS OF A WDM SYSTEM**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

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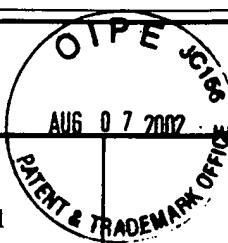
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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112740-369

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**METHOD AND APPARATUS FOR FREQUENCY BAND-DEPENDENT DISTRIBUTION AND  
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William E. Vaughan (Reg. No. 39,056)

Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

Dated: August 2, 2002

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CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dietmar Johlen  
Appl. No.: 09/990,694  
Filed: November 9, 2001  
Title: METHOD AND APPARATUS FOR THE FREQUENCY BAND-DEPENDENT DISTRIBUTION AND INFLUENCING OF DATA SIGNALS OF A WDM SYSTEM

Art Unit:  
Examiner:  
Docket No.: 112740-369

Assistant Commissioner for Patents  
Washington, DC 20231

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### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicant requests that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

#### FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 928 081	7-7-99	European
WO 00/64079	10-26-00	PCT

Applicant looks forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

*W.E. Vaughan*  
William E. Vaughan  
Reg. No. 39,056  
P.O. Box 1135  
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<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)	Atty Docket No. <b>112740-369</b>	Application No. <b>09/990,694</b>
	<b>Applicant</b> <b>Johlen</b>	
	<b>Filing Date</b> <b>11-9-01</b>	<b>Group</b> <b>C ORIG</b>

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## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**Examiner:** \_\_\_\_\_ Date Considered: \_\_\_\_\_  
\*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.